

CUSTOMER REGISTRATION FORM

Before you complete this form, please read carefully our Personal Information Protection Policy to understand how we collect Information and utilize the data you provide us. Please write clearly in block letters.

INDIVIDUAL CORPORATE

DATE DD / MM / YYYY

Individual Applicant

Mr. Ms.	Surname	First Name	Middle Name(s)
Home Address			Date of Birth
City State/Country Postal Code			DD / MM / YYYY
Telephone	Mobile	Fax	
Email	Your Country's Official ID Number (Social Security Number)		
Passport Number	Expiration Date of Passport		
			DD / MM / YYYY

Corporate Applicant

Name of corporation

Name of representative Mr. / Ms.	Surname	First Name	Middle Name(s)
Address of Corporation			
City State/Country		Postal Code	
Telephone		Fax	
Contact person's Name Mr. / Ms.	Surname	First Name	Middle Name(s)
Department		Title	
Telephone		Fax	
Mobile		Email	

Financial Information (for reference only)

Bank Name	Contact Mr. / Ms.
Telephone / Fax	Account Number

Please read the Personal Information Protection Policy on the reverse and sign below after agreeing to the content of that information. If you do not agree, you may not submit an application.

Signature of Applicant

Print Name(IN BLOCK LETTERS)

Date

DD / MM / YYYY

SBI Art Auction Co., Ltd.

TFT Bldg East Wing 7F, 3-6-11 Ariake, Koutou-ku, Tokyo 135-0063 JAPAN
Tel +81-(0)3-3527-6692 Fax +81-(0)3-3529-0777 artauction@sbigroup.co.jp

備考

確認書類 済 未

Personal Information Protection Policy

1. Purpose of Use

When utilizing our company's services, the client's personal information will be requested to the extent that it is necessary to achieve the purposes listed below. This will be done upon receiving consent to our company approach's to personal information protection. When personal information is not presented by the client, there will be occasions when our services cannot be utilize. Please take note of this in advance.

- Presenting information (auctions, guides to various seminars, announcements, general correspondence) to the client through direct mail and e-mail magazine
- Sending the catalogue on our company's services
- Collecting and analyzing marketing data

2. Deposit of Personal Information

When subcontracting client's individual affairs, the personal information of the client may be deposited with the relevant subcontractor after protective measures have been taken.

3. Third-Party Disclosure • Provision

When any of the following applies, we will confirm the client information registered with us, usage history, content of mail, etc., and, depending on the case, disclose such information to third parties.

- 1) When receiving the consent of the customer himself;
- 2) When disclosure has been requested through an ordinance, verdict, judicial finding, etc., based on a law or statute of a court of law or governmental agency;
- 3) When providing or disclosing under the condition that the statistical data of the client himself cannot be identified;
- 4) When it is difficult to obtain the client's consent in advance and when it is necessary to protect people's life, person, or property;
- 5) When it is difficult to obtain the client's consent in advance and it is especially necessary in order to promote the wholesome development of children or the improvement of public health;
- 6) When it is necessary to cooperate with the execution of affairs stipulated by the laws and regulations of a government agency, local government or the party entrusted to act on behalf of such agencies, and when there is the fear of interfering with the execution of such affairs based on receiving consent from the client.

4. Disclosure

With regards to the personal information held by the company, in those cases when the client wishes to disclose his own personal information, we will respond within a reasonable scope or period of time after having confirmed the identity of the party from whom we received the request.

5. Correction • Deletion

With regards to the personal information held by the company, when the client wishes to correct, add or delete his own information, after having confirmed the identity of the person making the request, we will make the correction, addition or deletion of information within a reason scope or period of time in those cases when the content runs counter to fact.

6. Lack of Consent with the Terms

Providing personal information is voluntary on the part of the client. However, in those cases in which sufficient information is not provided or when incomplete information is provided, there will be occasions when we cannot provide the services requested or cannot comply with your request.

7. Termination of Use • Erasure

With regards to the personal information held by this company, if the client wishes to terminate the use of or erase his own personal information, after having confirmed the identity of the person making the request, we will erase or terminate the use of that information within a reasonable scope or period of time. In cases where the use of a portion of the information has been terminated or a portion has been erased, there will be occasions when regretfully we will not be able

to provide the services requested. We appreciate your understanding and cooperation in these cases. (With regards to information being held based on the pertinent laws, there will cases in which the offer to terminate the use of or erase information cannot be accepted.)

8. Contact Information and Method for Receiving Inquiries Related to Disclosure

With regards to the personal information of the client held by this company, inquiries relating to points 4, 5, and 7 above and to the personal information referred to there are to be received based on the following methods. Please understand that there will be occasions when we cannot accept disclosure requests and other requests that are not based on these methods.

【Information Desk Procedures】

Please make request by telephone, post, or email to the following points of addresses.

Details regarding the information desk procedures will be explained when a request is made. However, after having confirmed the identity of the person making contact (or that person's representative) through the methods outlined below, we will respond in writing or by other methods. Alternatively, there may be occasions when we will ask you to provide a written application prescribed by this company owing to the content of the request.

<Contact Information>

- SBI SBI Art Auction Co., Ltd.
TFT Building, East Wing 7F, 3-6-11 Ariake, Koutou-ku,
Tokyo 135-0063 JAPAN
- Telephone: 03-3527-6692 (MON-FRI / 9:00-17:45)
- Email: ArtAuction@sbigroup.co.jp

<Confirming the Identity of the Client or the Client's Representative>

When a request is received from a client, we will confirm the identity of the client by confirming such identification documents as the driver's license, passport, health insurance card, and seal registration certificate (however, this applies to documents that are still within the expiration date or issued within the past three months) and by confirming this company's registration information for the clients, such as the name, address, telephone number, including placing a confirmation call to the registered telephone number of the client.

When the request comes from the client's representative, we will confirm that there is a proxy statement from the pertinent client and the proof of seal or signature for the seal or signature on the proxy statement, as well as a copy of the certificate of residence for the pertinent client. We will also make confirmation by telephoning the pertinent client or making other contact with the pertinent client.

【Processing Fees】

There are no processing fees received by this company from the client regarding requests related to disclosure. However, the client will be responsible for any fees generated when preparing materials for us related to confirming the identity of the client as laid down in the previous terms, such as travel and communication expenses from the client to this company. We ask for your understanding in this regard.

9. Chief Privacy Officer

At SBI Art Auction Co., Ltd. (hereafter referred to as the "company"), the following person has been appointed as the chief privacy officer.
Chief Privacy Officer: Seiji Yoshihara, SBI Art Auction Co., Ltd

10. Transfer of Business

There are occasions when the company needs to transfer all or a part of the company's business by methods of assignment or transfer to a third party. The client will serve as the preliminary consenting party regarding this transfer. We will use the personal information of the client who is transferring to the extent necessary until the ongoing purpose for using such information has been achieved.

List of Acceptable Identification

The applicant must submit a complete application and one of the following valid photo identifications. Please fax, email or mail the documents to SBI Art Auction. If the document is not contains photo, please submit two official documents from bellow.

Individual Applicant	<ul style="list-style-type: none"> - Passport with name, photograph and signature - Valid driver's license with name, photograph and signature - National ID with name, photograph and signature - Military ID with name, photograph and signature - Certification of Alien Registration in Japan 	Corporate Applicant	- Business License
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